

PATENT *HGS*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Robert C. STEVENS  
FOR : REINFORCED CATHETER DEVICE,  
CATHERETER STOCK, AND METHODS  
AND APPARATUS FOR MAKING SAME  
SERIAL NO. : Unknown  
FILED : Herewith  
EXAMINER : Unknown  
ART UNIT : Unknown  
ATTORNEY DOCKET NO. : RST 2 0011-3

J1046 U.S. PRO  
10/075053  
02/13/02



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner For Patents  
Washington, DC 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant submits the following Disclosure Statement concerning art of which the applicant is aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label Number EL 852686303 US

Date of Deposit: February 13, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Barbara J. Whaley  
Barbara J. Whaley

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patent requires no further explanation (or no translation is available).

U.S. Patents:

243,396 to Pfarre  
2,211,975 to Hendrickson  
3,485,234 to Stevens  
3,720,235 to Schrock  
3,945,867 to Heller, Jr., et al.  
3,988,189 to Sullivan  
4,321,226 to Markling  
4,430,083 to Ganz, et al.  
4,577,543 to Wilson  
4,665,604 to Dubowik  
4,737,153 to Shimamura, et al.  
4,904,431 to O'Maleki  
4,981,478 to Evard, et al.  
5,135,516 to Sahatjian, et al.  
5,176,660 to Truckai  
5,244,619 to Burnham  
5,313,965 to Lieber, et al.  
5,514,236 to Avellanet, et al.  
5,560,103 to Harris, et al.  
5,662,622 to Gore, et al.  
5,667,499 to Welch, et al.  
5,702,373 to Samson  
5,733,400 to Gore, et al.  
5,738,742 to Stevens  
5,972,143 to Stevens  
6,152,912 to Jansen, et al.

Foreign Patents:

EP 0 086 498 A2 European  
2 454 907 France  
PCT/US93/01323 - WO 93/15785

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

**WITHIN THREE MONTHS OF FILING:** Under § 1.97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

**BEFORE FIRST OFFICE ACTION:** Under § 1.97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

**FOREIGN SEARCH REPORT:** Under § 1.97(e)(1), the undersigned certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

**BEFORE FINAL ACTION/WITH FEE:** Under § 1.97(e)(2), the undersigned certifies that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. This information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of \$240.00 as required by §1.17(p). Accordingly, the necessary fee accompanies this information disclosure statement. Any overpayment or deficiency can be charged to Deposit Account No. 06-0308.

It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP



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<b>Subst. Form PTO-1449 APPLICANTS' INFORMATION DISCLOSURE STATEMENT</b>		<b>Atty. Docket No.:</b> RST 2 0011-3		<b>Serial No.:</b> Unknown			
		<b>Applicant(s):</b> Robert C. STEVENS					
		<b>Filing Date:</b> Herewith		<b>Group:</b> Unknown			
<b>U.S. PATENT DOCUMENTS</b>							
Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	243,396	6/28/1881	Pfarre			3/25/1881
	AB	2,211,975	8/20/40	Hendrickson			3/16/37
	AC	3,485,234	12/23/69	Stevens			4/13/66
	AD	3,720,235	3/13/73	Schrock			9/30/70
	AE	3,945,867	3/23/76	Heller, Jr., et al.			11/5/73
	AF	3,988,189	10/26/76	Sullivan			6/5/75
	AG	4,321,226	3/23/82	Markling			2/15/80
	AH	4,430,083	2/7/84	Ganz, et al.			3/6/81
	AI	4,577,543	3/25/86	Wilson			8/18/83
	AJ	4,665,604	5/19/87	Dubowik			2/16/82
	AK	4,737,153	4/12/88	Shimamura, et al.			2/4/87
	AL	4,904,431	2/27/90	O'Maleki			8/12/88
	AM	4,981,478	1/1/91	Evard, et al.			9/6/88
	AN	5,135,516	8/4/92	Sahatjian, et al.			12/15/89
	AO	5,176,660	1/5/93	Truckai			3/8/91
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document No.	Date	Country	Class	Subcl.	Translation?
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
<b>OTHER ART</b>							
	AV						
Examiner:						Date Considered:	
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.</p>							

APPLICANTS' INFORMATION  
DISCLOSURE STATEMENT

Atty. Docket No.: RST 2 0011-3  
 Applicant(s): Robert C. STEVENS  
 Filing Date: Herewith  
 Serial No.: Unknown  
 Group: Unknown

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	5,244,619	9/14/93	Burnham			5/3/91
	AB	5,313,965	5/24/94	Lieber, et al.			6/24/92
	AC	5,514,236	5/7/96	Avellanet, et al.			3/17/94
	AD	5,560,103	10/1/96	Harris, et al.			5/19/95
	AE	5,662,622	9/2/97	Gore, et al.			4/4/95
	AF	5,667,499	9/16/97	Welch, et al.			10/4/94
	AG	5,702,373	12/30/97	Samson			8/31/95
	AH	5,733,400	3/31/98	Gore, et al.			5/8/96
	AI	5,738,742	4/14/98	Stevens			11/12/96
	AJ	5,972,143	10/26/99	Stevens			11/7/97
	AK	6,152,912	11/28/00	Jansen, et al.			6/10/97
	AL						
	AM						
	AN						
	AO						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AP	EP 0 086 498 A2	24.08.83	European			
	AQ	2 454 907	21.11.80	France			
	AR	PCT/US93/01323 - WO 93/15785	19.8.93				
	AS						
	AT						
	AU						

## OTHER ART

Examiner:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered:

N:\RST\2001\3A\BJW\1074A.WPD